

Jason E. Klopman, CFP®

XML Financial Group
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This document provides information about Jason Klopman that supplements the XML Financial Group (XML) ADV 2A Brochure and Wrap Fee Program Brochure (“Brochures”). If you were not provided with a Brochure or if you have questions about the content of this supplement please contact Compliance at info@xmlfg.com or 703.827.2300. The Brochures and additional information about XML and Jason Klopman are available on the SEC’s website at adviserinfo.sec.gov.

ITEM 2 EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Jason graduated from University of Maryland with a B.S. in Finance. Jason is a Senior Wealth Advisor and Partner with XML Financial Group. Previously, he was a Financial Advisor and registered representative with LPL Financial, LLC.

CERTIFIED FINANCIAL PLANNER™, CFP®

The CFP certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP certification. It is recognized in the United States and a number of other countries for its high standard of professional education; stringent code of conduct and standards of practice; and ethical requirements that govern professional engagements with clients. The CFP certification maintains rigorous standards. To attain the right to use the CFP marks, an individual must satisfactorily fulfill the following requirements:

- Education: Approved curriculum
- Examination: Comprehensive exam
- Experience: 6,000 hours experience (or 4,000 hours through the apprenticeship pathway)
- Ethics: Strict code of conduct. A CFP must provide financial planning services at a fiduciary standard of care, meaning in the best interests of their clients. Failure to comply could result in suspension or permanent revocation of their CFP certification.
- Continuing Education (CE): Completion of 30 hours of CE every two years.

To learn more about the CFP certification, please visit the CFP Board website: <https://www.cfp.net>.

ITEM 3 DISCIPLINARY INFORMATION - None

ITEM 4 OTHER BUSINESS ACTIVITIES - None

ITEM 5 ADDITIONAL COMPENSATION - None

ITEM 6 SUPERVISION

XML’s investment advisor supervisory system includes, but is not limited to, principal approval of advisory agreements and amendments, email sample review, outside account review and trade monitoring. This individual’s designated supervisor is Brett Bernstein, CFP®, CEO at 301.770.5234.